

PATENTS 8011-16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : James J. Fallon et al.

Application No.: 10/076,013 Conf. No.: 7350

Filed : February 13, 2002

For : BANDWIDTH SENSITIVE DATA COMPRESSION AND

DECOMPRESSION

Art Unit : 2611

Examiner : Tesfaldet Bocure

Mail Stop RCE

Commissioner for Patents

P.O. Box 1450 New York, New York 10036

Alexandria, VA 22313-1450 July 17, 2007

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following documents of record in the above-identified patent application:

U.S. Patent Documents

5,434,983	Yaso et al.	07-18-1995	(2)
5,483,470	Alur et al.	01-09-1996	(10)
5,574,953	Rust et al.	11-12-1996	
5,611,024	Campbell et al.	03-11-1997	(10)
5,640,158	Okayama et al.	06-17-1997	(4)
5,686,916	Bakhmutsky	11-11-1997	(4)
5,864,342	Kajiya et al.	01-26-1999	(5,6)
5,867,602	Zandi et al.	02-02-1999	(5,6)
5,978,483	Thompson, Jr. et al.	11-02-1999	(10)

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6,008,743 Jaquette
                                  12-28-1999
                                               (3)
6,091,777 Guetz et al.
                                               (5,6)
                                  07-18-2000
6,195,465 Zandi et al.
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6,222,886 Yogeshwar
                                               (7,8)
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6,272,178 Nieweglowski et al.
                                  08-07-2001
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6,308,311 Carmichael et al.
                                  10-23-2001
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6,392,567 Satoh
                                  05-21-2002
                                               (3)
6,404,931 Chen et al.
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                                               (4)
6,532,121 Rust et al.
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                                               (7,8)
6,577,254 Rasmussen
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                                               (3)
6,604,040 Kawasaki et al.
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6,661,845 Herath
                                  12-09-2003
                                               (10)
6,717,534 Yokose
                                  04-06-2004
                                               (4)
6,731,814 Zeck et al
                                  05-04-2004
                                               (3)
6,756,922 Ossia
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                                               (4)
          Muthujumaraswathy et
6,810,434
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           al.
6,885,316 Mehring
                                  04-26-2005
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6,885,319 Geiger et al.
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                                               (4)
7,130,913 Fallon
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7,161,506 Fallon
                                  01-09-2007
                                               (11)
7,190,284 Dye et al.
                                  03-13-2007
                                               (4)
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           Zeck et al.
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          Geiger et al.
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Non-Patent Documents

MILLMAN, HOWARD, "Image and video compression", Computerworld, Vol. 33, Issue No. 3, January 18, 1999, pp. 78

"IBM boosts your memory", Geek.com [online], June 26, 2000 [retrieved on July 6, 2007], <URL: http://www.geek.com/ibm-boosts-your-memory/>

"IBM Research Breakthrough Doubles Computer Memory Capacity", IBM Press Release [online], June 26, 2000 [retrieved on July 6, 2007], <URL: http://www-03.ibm.com/press/us/en/pressrelease /1653.wss>

"ServerWorks To Deliver IBM's Memory eXpansion Technology in Next-Generation Core Logic for Servers", ServerWorks Press Release [online], June 27, 2000 [retrieved on July 14, 2000], <URL: http://www.serverworks.com/news/press/ 000627.html>

ABALI, B., et al., "Memory Expansion Technology (MXT) Software support and performance", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 287-301

FRANASZEK, P. A., et al., "Algorithms and data structures for compressed-memory machines", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 245-258

FRANASZEK, P. A., et al., "On internal organization in compressed random-access memories", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 259-270

SMITH, T.B., et al., "Memory Expansion Technology (MXT) Competitive impact", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 303-309

TREMAINE, R. B., et al., "IBM Memory Expansion Technology (MXT)", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 271-285

Copies of the aforementioned non-patent documents, which are listed on the accompanying Form SB/08A (submitted in duplicate), are enclosed herewith.

The above-identified documents marked with the numeral one, (1), were cited in the U.S. Patent Application No. 11/400,712 in the Office Action mailed on November 2, 2006.

The above-identified document marked with the numeral two, (2), was cited in the U.S. Patent Application No. 11/400,674 in the Office Action mailed on February 5, 2007.

The above-identified documents marked with the numeral three, (3), were cited in the U.S. Patent Application No. 11/651,175 in the Notice of Allowance mailed on April 3, 2007.

The above-identified documents marked with the numeral four, (4), were cited in the U.S. Patent Application No. 11/651,366 in the Office Action mailed on May 1, 2007.

The above-identified documents marked with the numeral five, (5), were cited in the U.S. Patent Application No. 11/400,008 in the Office Action mailed on June 19, 2007.

The above-identified documents marked with the numeral six, (6), were cited in the U.S. Patent Application No. 11/400,322 in the Office Action mailed on June 19, 2007.

The above-identified documents marked with the numeral seven, (7), were cited in the U.S. Patent Application No. 11/553,426 in the Office Action mailed on June 29, 2007.

The above-identified documents marked with the numeral eight, (8), were cited in the U.S. Patent Application No. 11/553,427 in the Office Action mailed on June 29, 2007.

The above-identified document marked with the numeral nine, (9), was cited in the U.S. Patent Application No. 11/553,419 in the Office Action mailed on April 9, 2007.

The above-identified documents marked with the numeral ten, (10), were cited in the U.S. Patent Application No. 09/969,987 in the Office Action mailed on March 23, 2007.

The above-identified documents marked with the numeral eleven, (11), were cited in the U.S. Patent Application No. 11/400,533 in the Office Action mailed on July 10, 2007.

Documents included in the above-identified Office

Actions and Notices of Allowance that are not being cited

herewith are already of record in the present application.

Applicants direct the Examiner's attention to the respective

prosecution histories of each of the above cases.

Applicants further direct the Examiner's attention to the prosecution history of U.S. Patent Application No. 11/230,953, including the Office Action mailed on June 4, 2007.

Applicants further direct the Examiner's attention to the prosecution history of U.S. Patent Application No. 10/306,581, including the Office Action mailed on April 13, 2007.

Applicants further direct the Examiner's attention to the prosecution history of U.S. Patent Application No. 11/400,340, including the Office Action mailed on July 10, 2007.

It is respectfully requested that these documents be:

(1) fully considered by the Patent and Trademark Office during
the examination of this application; and (2) printed on any
patent which may issue on this application. Applicants request
that a copy of Form SB/08A, as considered and initialed by the
Examiner, be returned with the next communication.

This Statement is submitted before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114. In accordance with 37 C.F.R. §1.97(b)(4), submission of this Statement requires no fee. The Director is hereby authorized to charge payment of any fees required in connection with this paper to Deposit

Account No. 06-1075, Order No. 103532-0002. A duplicate copy of this paper is being submitted herewith.

An early and favorable action is respectfully requested.

Respectfully submitted,

Richard G. Allison

Registration No. 60,386

Agent for Applicants

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

\					PTO/SB/08A (10-01)
Under the	Paperwork Reductio	n Act o	f 1995, no persons are	U.S. Patent and Tra	Approved for use through 10/31/2002. OMB 0651-0031 ademark Office: U.S. DEPARTMENT OF COMMERCE information unless it contains a valid OMB control number.
Substitu	ute for form 144	9A/P	то	Con	nplete if known
INFORMATION DISCLOSURE				Application Number	10/076,013
			CLOSURE	Filing Date	February 13, 2002
STA	STATEMENT BY APPLICANT			First Named Inventor	Fallon
				Art Unit	2611
(use as many sheets as necessary)			necessary)	Examiner Name	Tesfaldet Bocure
Sheet	1	of	3	Attorney Docket Number	8011-16

U.S. PATENT DOCUMENTS								
Examiner initials*	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		5,434,983	07-18-1995	Yaso et al.	:			
		5,483,470	01-09-1996	Alur et al.				
		5,574,953	11-12-1996	Rust et al.				
		5,611,024	03-11-1997	Campbell et al.				
		5,640,158	06-17-1997	Okayama et al.				
		5,686,916	11-11-1997	Bakhmutsky				
		5,864,342	01-26-1999	Kajiya et al.				
		5,867,602	02-02-1999	Zandi et al.				
		5,978,483	11-02-1999	Thompson, Jr. et al.				
		6,008,743	12-28-1999	Jaquette				
		6,091,777	07-18-2000	Guetz et al.				
		6,195,465	02-27-2001	Zandi et al.				
		6,222,886	04-24-2001	Yogeshwar				
		6,272,178	08-07-2001	Nieweglowski et al.				
		6,308,311	10-23-2001	Carmichael et al.				
		6,392,567	05-21-2002	Satoh				
		6,404,931	06-11-2002	Chen et al.				
		6,532,121	03-11-2003	Rust et al.				
		6,577,254	06-10-2003	Rasmussen				
		6,604,040	08-05-2003	Kawasaki et al.				
		6,661,845	12-09-2003	Herath				
		6,717,534	04-06-2004	Yokose				

	 	 -
Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.

EXAMINER: Initial if reference considered, whether or not clation is in conformance with MPEP 609. Draw line through citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Alexandria, VA 22313-1450.

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Substitu	ute for form 144	49A/P	то	Complete if known	
				Application Number	10/076,013
INFORMATION DISCLOSURE				Filing Date	February 13, 2002
STATEMENT BY APPLICANT			PLICANT	First Named Inventor	Fallon
	O'A'EMENT D' AITEIGAN			Art Unit	2611
(use	(use as many sheets as necessary)			Examiner Name	Tesfaldet Bocure
Sheet	Sheet 2 of 3		Attorney Docket Number	8011-16	

	6,731,814	05-04-2004	Zeck et al	
	6,756,922	06-29-2004	Ossia	,
	6,810,434	10-26-2004	Muthujumaraswathy et al.	
	6,885,316	04-26-2005	Mehring	
	6,885,319	04-26-2005	Geiger et al.	
	6,909,383	06-21-2005	Shokrollahi et al.	
	7,102,544	09-05-2006	Liu	
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	7,161,506	01-09-2007	Fallon	
	7,190,284	03-13-2007	Dye et al.	
1	2001/0031092	10-18-2001	Zeck et al.	
	2002/0101367	08-01-2002	Geiger et al.	

	NON PATENT LITERATURE DOCUMENTS					
Examiner initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²			
		MILLMAN, HOWARD, "Image and video compression", Computerworld, Vol. 33, Issue No. 3, January 18, 1999, pp. 78				
		"IBM boosts your memory", Geek.com [online], June 26, 2000 [retrieved on July 6, 2007], <url: http:="" ibm-boosts-your-memory="" www.geek.com=""></url:>				
		"IBM Research Breakthrough Doubles Computer Memory Capacity", IBM Press Release [online], June 26, 2000 [retrieved on July 6, 2007], <url: 1653.wss="" en="" http:="" press="" pressrelease="" us="" www-03.ibm.com=""></url:>				

Examiner	Date	
Signature	Considered	

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Substitu	ute for form 14	49A/P	то	Complete if known		
				Application Number	10/076,013	
INFO	DRMATION	DISC	CLOSURE	Filing Date	February 13, 2002	
STATEMENT BY APPLICANT				First Named Inventor	Fallon	
			LIGATI	Art Unit	2611	
(use as many sheets as necessary)			necessary)	Examiner Name	Tesfaldet Bocure	
Sheet	3	of	3	Attorney Docket Number	8011-16	

"ServerWorks To Deliver IBM's Memory eXpansion Technology in Next-Generation Core Logic for Servers", ServerWorks Press Release [online], June 27, 2000 [retrieved on July 14, 2000], <url: 000627.html="" http:="" news="" press="" www.serverworks.com=""></url:>	
ABALI, B., et al., "Memory Expansion Technology (MXT) Software support and performance", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 287-301	
FRANASZEK, P. A., et al., "Algorithms and data structures for compressed-memory machines", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 245-258	
FRANASZEK, P. A., et al., "On internal organization in compressed random-access memories", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 259-270	
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TREMAINE, R. B., et al., "IBM Memory Expansion Technology (MXT)", IBM Journal of Research and Development, Vol. 45, Issue No. 2, March 2001, pp. 271-285	

Examiner	·	Date	
Signature		Considered	

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